CEN 5016: Software Engineering

Fall 2024



Dr. Kevin Moran

Week 10 - Class I: Introduction to Software Engineering Research



Administrivia



- Industry Speaker Next Week!
- Exams will be graded by Tuesday
- Research Paper Presentation Selection
 - Due by end of next week more details Monday

Software Engineering

Software Engineering

The methods and techniques by which developers design, create, test, and manage software

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The methods and techniques by which developers design, create, test, and manage software

Research Goal: Design tailored *automated approaches* to help facilitate *developer needs* throughout the software development and maintenance lifecycle.

PRACTICAL SIGNIFICANCE

PRACTICAL SIGNIFICANCE

Blend scientific discovery with practical significance

PRACTICAL SIGNIFICANCE

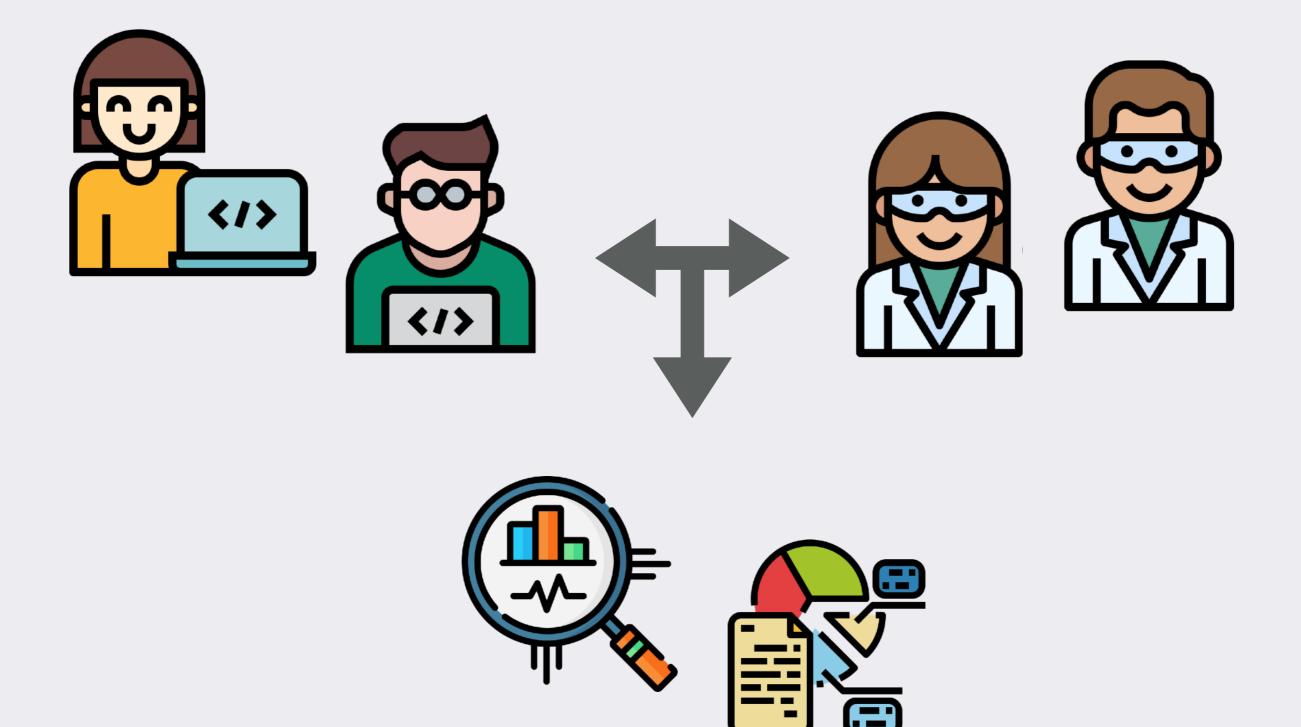
Blend scientific discovery with practical significance



facebook.

How Can We Design Practical Automation?

Understanding Developer Needs



MINING SOFTWARE REPOSITORIES



Bitbucket



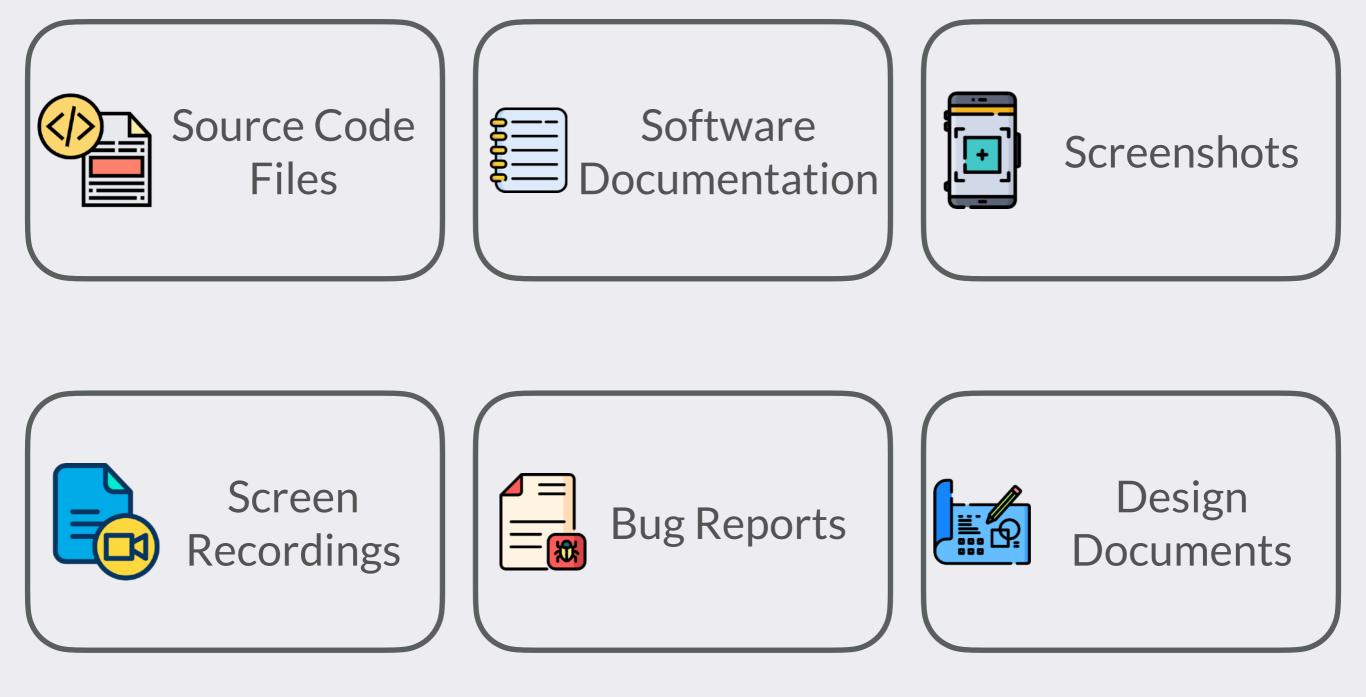
Google Play





MINING SOFTWARE REPOSITORIES

MINING SOFTWARE REPOSITORIES



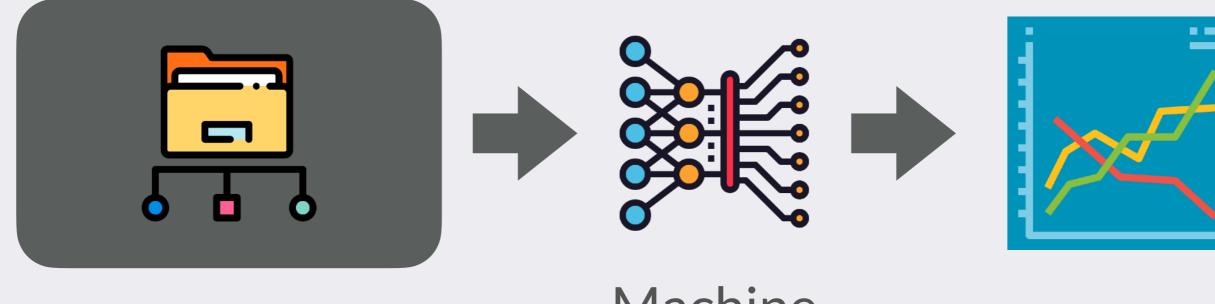
LEARNING PATTERNS FROM SOFTWARE DATA



LEARNING PATTERNS FROM SOFTWARE DATA

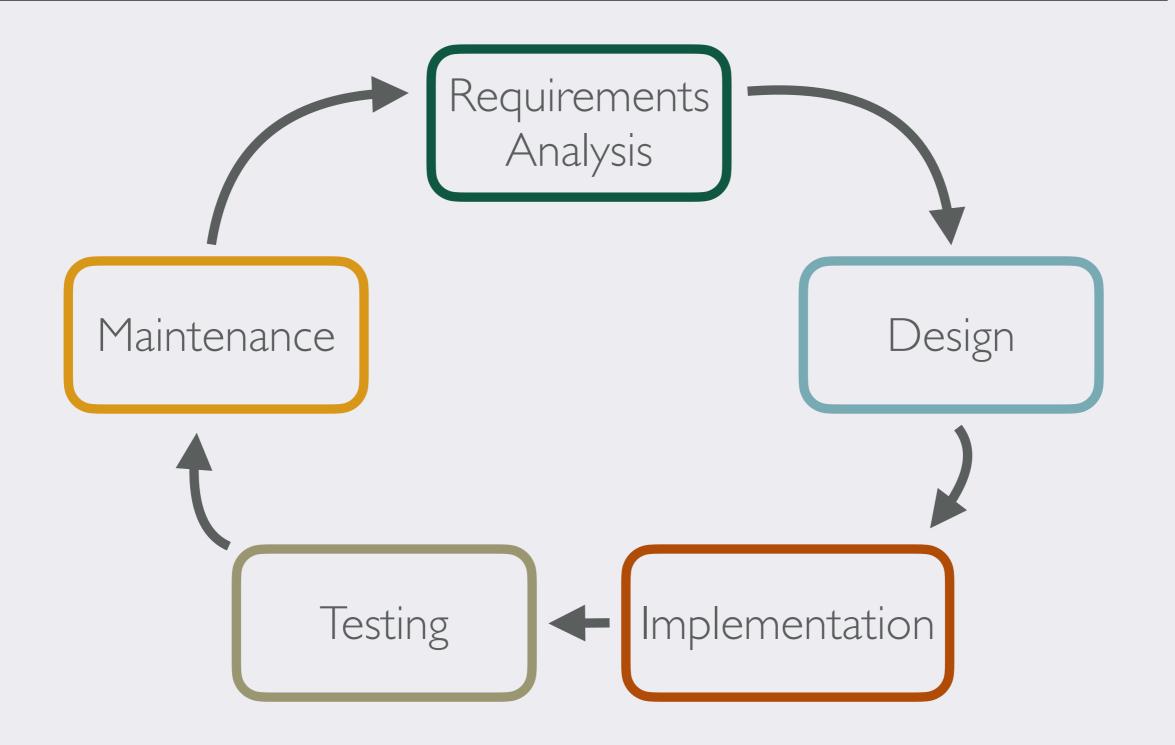
Software Repository Data

Salient Patterns



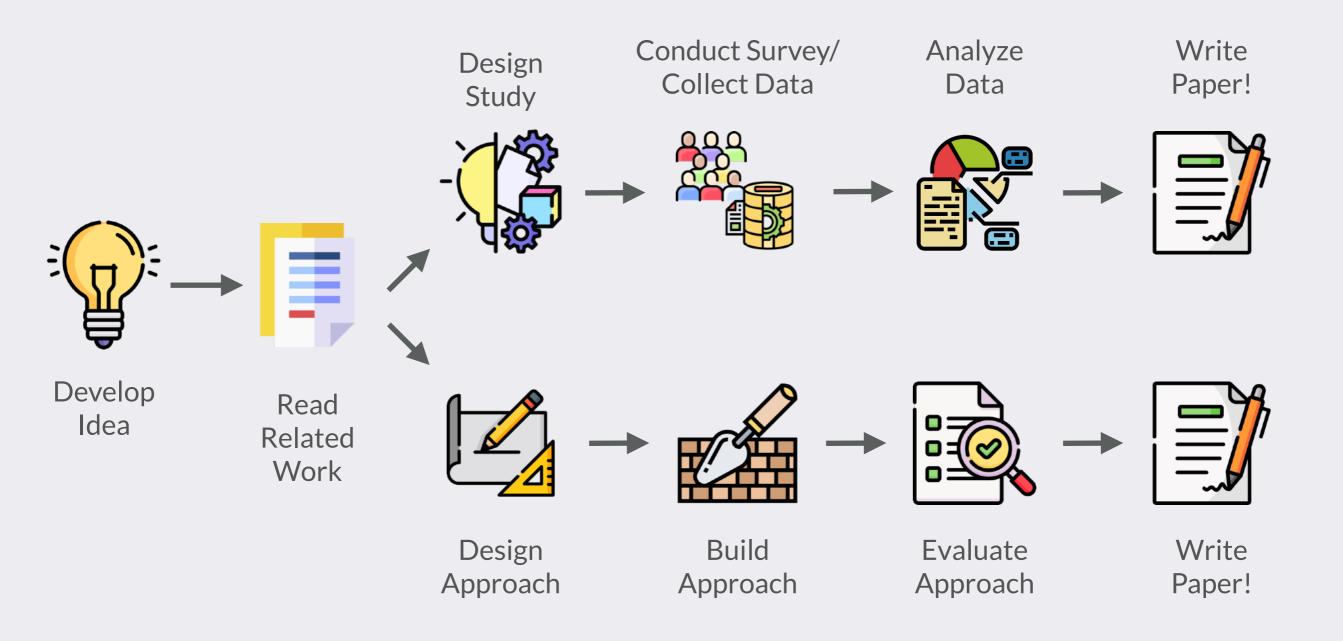
Machine Learning

SOFTWARE DEVELOPMENT LIFECYCLE



How Software Engineering Research Works

SE RESEARCH PROJECT ROADMAP



TYPICAL SE RESEARCH TOPICS

Al and software engineering:

- Search-based software engineering
- Machine learning with and for SE
- Recommender systems
- Autonomic systems and self adaptation
- Program synthesis
- Program repair

Testing and analysis:

- Software testing
- Program analysis
- Debugging and Fault localization
- Programming languages
- Performance
- Mobile applications

Software analytics:

- Mining software repositories
- Apps and app store analysis
- Software ecosystems
- Configuration management
- Software visualization

Dependability:

- Formal methods
- Validation and Verification
- Reliability and Safety
- Privacy and Security
- Embedded and cyber-physical systems

Software evolution:

- Evolution and maintenance
- API design and evolution
- Release engineering and DevOps
- Software reuse
- Refactoring
- Program comprehension
- Reverse engineering

Social aspects of software engineering:

- Human aspects of software engineering
- Human-computer interaction
- Distributed and collaborative software engineering
- Agile methods and software processes
- Software economics
- Crowd-based software engineering
- Ethics in software engineering
- Green and sustainable technologies

Requirements, modeling, and design:

- Requirements Engineering
- Privacy and Security by Design
- Modeling and Model-Driven Engineering
- Software Architecture and Design
- Variability and product lines
- Software services

SE RESEARCH VENUES

<u>Conferences</u>

International Conference on Software Engineering (ICSE)

Symposium on the Foundations of Software Engineering (FSE)

International Conference on Automated Software Engineering (ASE)

International Conference on Software Maintenance & Evolution (ICSME)

International Conference on Mining Software Repositories (MSR)

International Symposium on Software Testing and Analysis (ISSTA)

Journals

IEEE Transactions on Software Engineering

ACM Transactions on Software Engineering & Methodology

Springer Empirical Software Engineering Deep Learning & Software Engineering

A Retrospective and New Directions

Kevin Moran, Ph.D. Assistant Professor, CS Director of the SAGE Research Lab University of Central Florida





Technical Preview

Your Al pair programmer

With GitHub Copilot, get suggestions for whole lines or entire functions right inside your editor.

Sign up >

sentiment.ts	- ∝o write_sql.go		😭 addresses.rb	
1 #!/usr/b:	in/env ts-node			
	fetch } from "	fetch-h2";		
12 13				

Sign up >

Talk Outline

• **Topic 1 - Background:** The Evolution of Machine Learning (ML) to Deep Learning (DL)

• Topic 2- DL4SE: The Current State of Research

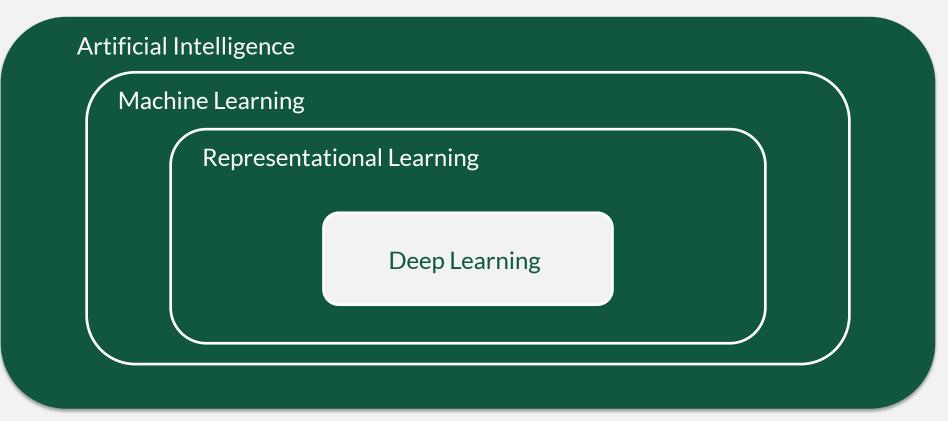
• **Topic 3 – Looking Forward:** Future Directions and Paths Forward

Topic 1 – Background: The Evolution of Machine Learning to Deep Learning

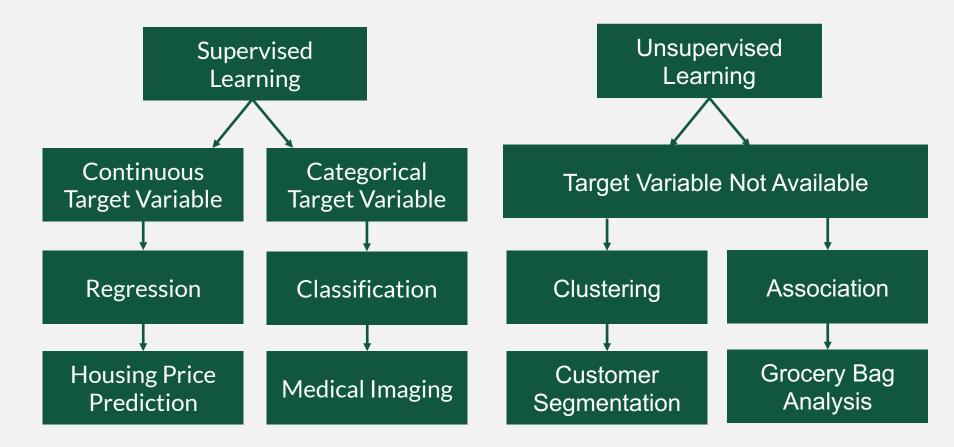
What is Machine Learning?

A branch of **Artificial Intelligence** that allows computers to **infer patterns** from data, which can be used for the **prediction** of new data points

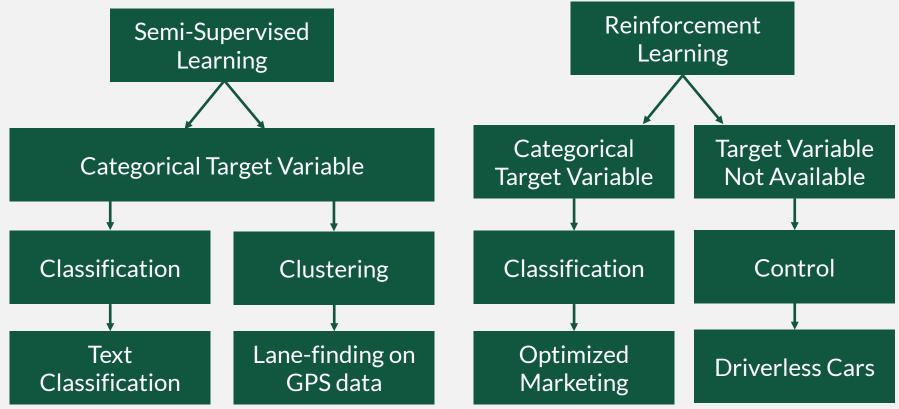
The Hierarchy of Artificial Intelligence



Machine Learning Taxonomy



Machine Learning Taxonomy



ML Representations

Decision TreesModelsLinear RegressionMultiple Gaussian DistributionsSupport Vector MachineSemi-supervised	Supervised Learning	Unsupervised Learning	Semi-Supervised Learning	Reinforcement Learning	
machines	Neighbor Naïve Bayes Decision Trees Linear Regression Support Vector	Association rule	Existing Classifiers Hidden Markov Models Multiple Gaussian Distributions Semi-supervised support vector	, U	Canonical Representation

Machine Learning vs. Traditional Programming

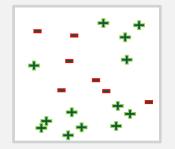




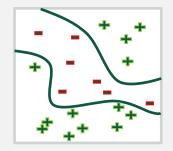
When do We Need Machine Learning?

Three Conditions:

- 1. We have an Existing Dataset
- 2. A pattern exists in the data
- 3. The pattern is not <u>easily</u> defined by an equation



The Data

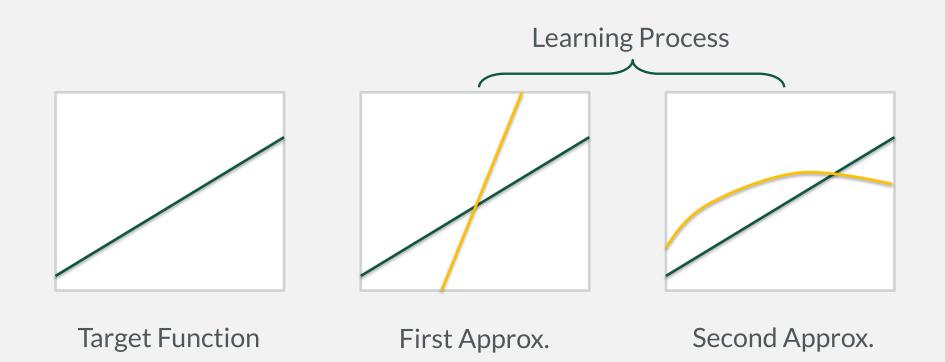


The Pattern



No Possible Equation

The Computational Learning Process



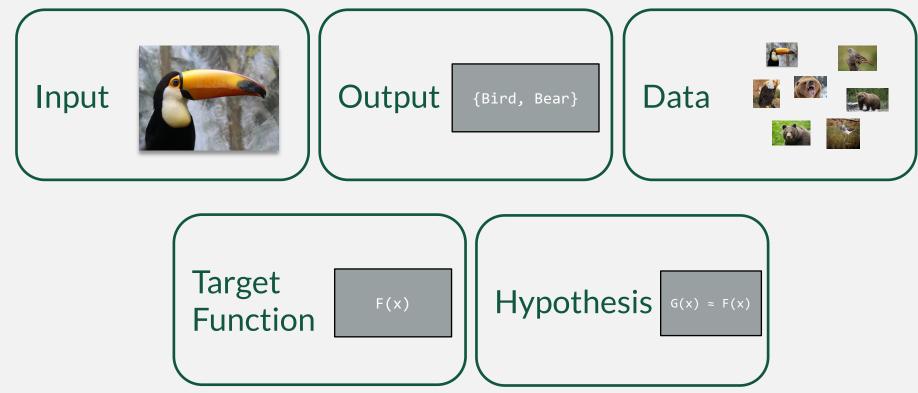
Supervised ML Applied to Image Classification

Important Note!

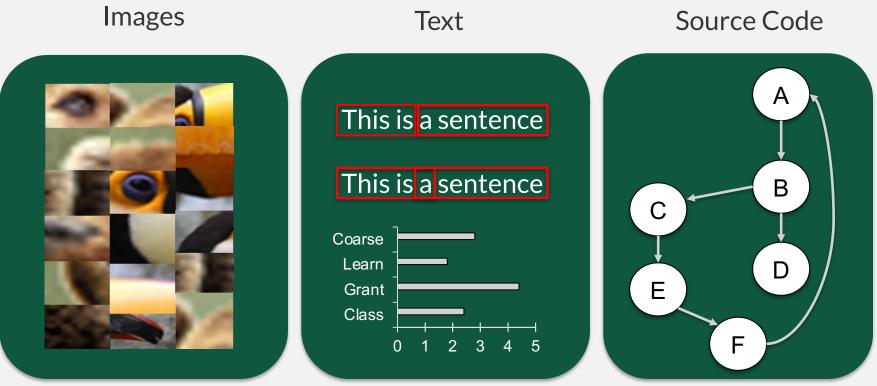
Our future examples focus on *Supervised Learning* for *Images*

However, the same principles apply to other types of data (*natural language* and *code*) and learning methods (*Unsupervised* and *Reinforcement*).

The Five Elements of the Learning Process



Feature Engineering for "Canonical" Machine Learning

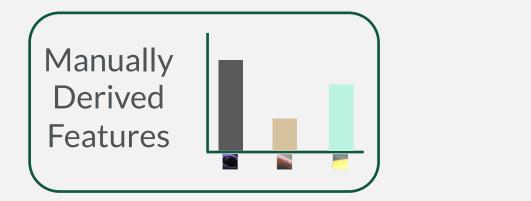


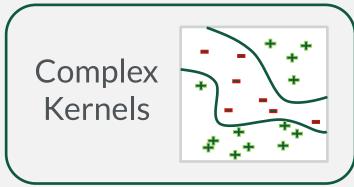
"Canonical" ML Image Classification

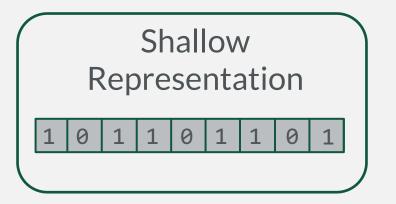
On the Large-Scale *ImageNet* Dataset, which contains millions of images from over 1000 categories

Canonical ML techniques have only been able to achieve ~ 60% accuracy

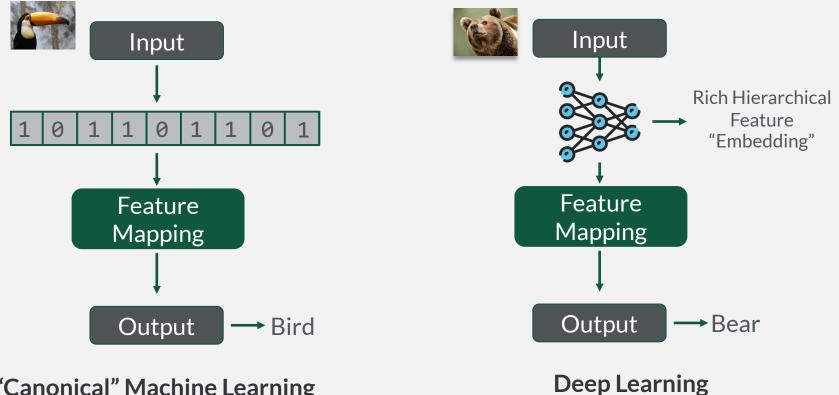
Shortcomings of Traditional ML Techniques







The Advent of Deep Learning



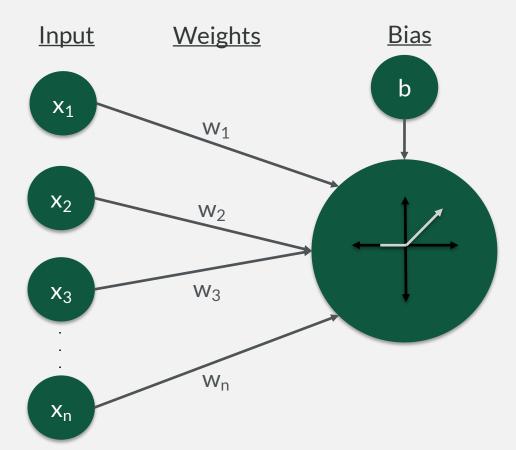
"Canonical" Machine Learning

25

ML Representations

Supervised Learning	Unsupervised Learning	Semi-Supervised Learning	Reinforcement Learning	
K Nearest Neighbor Naïve Bayes Decision Trees Linear Regression Support Vector	K-means clustering Association rule learning Autoencoders Deep Belief Networks Generative Adversarial Networks (GANs)	Self-Training of Existing Classifiers Hidden Markov Models Multiple Gaussian Distributions Semi-supervised	Q-Learning Temporal Difference Deep Adversarial Networks Deep Q-Learning	Canonical Representation
Machine Neural Networks (Convolutional, Recurrent, Feed- forward, etc.		support vector machines Neural networks Autoencoders		Deep Representation

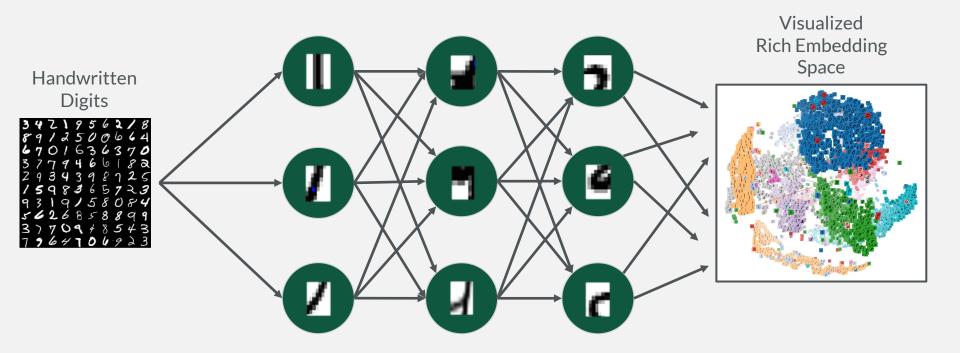
Neurons: The Building Blocks of Rich Features



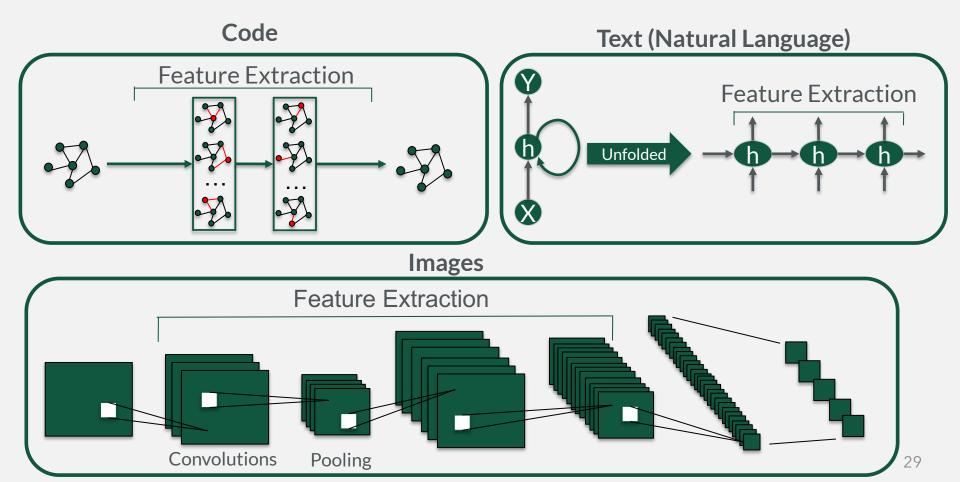
Additional Activation Functions

- Identity
- Binary Step
- Sigmoid
- Tanh
- Leaky ReLU
- Softmax

Neural "Networks" for Rich Embeddings



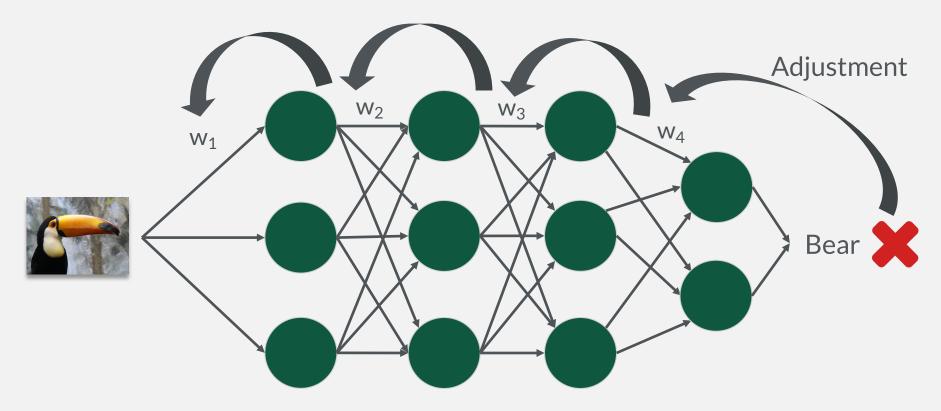
Automated Feature Discovery



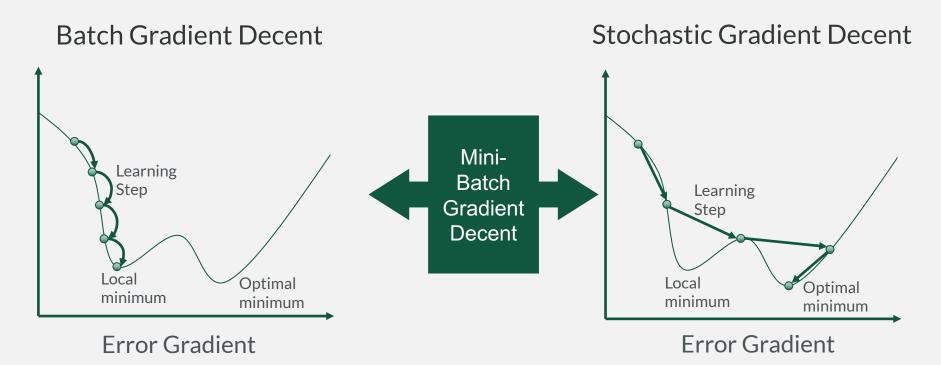
How Can a Model Learn from Deep Embeddings?

Adjust the Neuron *"weights"* according to *errors* made on a given task.

How Can a Model Learn from Deep Embeddings?



How Should the Weights be Updated?



CNN-Accuracy

ConvNets have *surpassed human levels of accuracy* on the ImageNet classification dataset

Deep Learning Advantages and Drawbacks

Advantages

- Does not require manual feature engineering
- Capable of Learning Rich, Hierarchal Data Representations
- Can be trained for a given task endto-end

Disadvantages

- Require massive datasets to function effectively
- Computationally expensive to train
- Models can difficult to interpret (Black Box)

Topic 2 – DL4SE: The Current State of Research

Mining Software Repositories





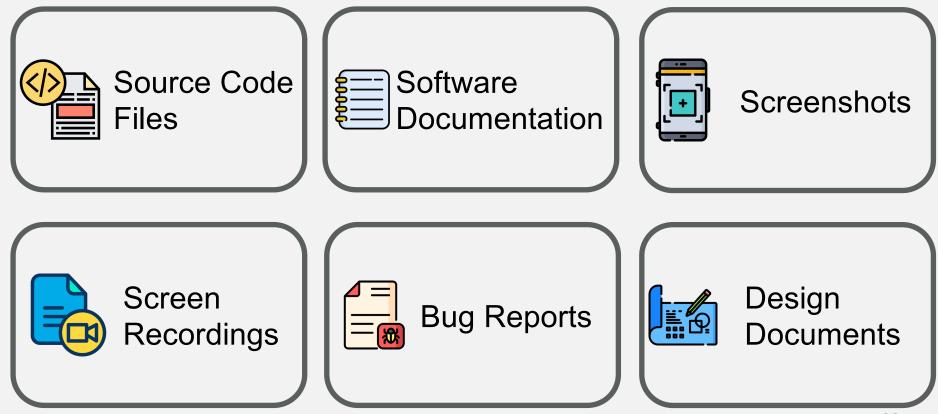




Google Play



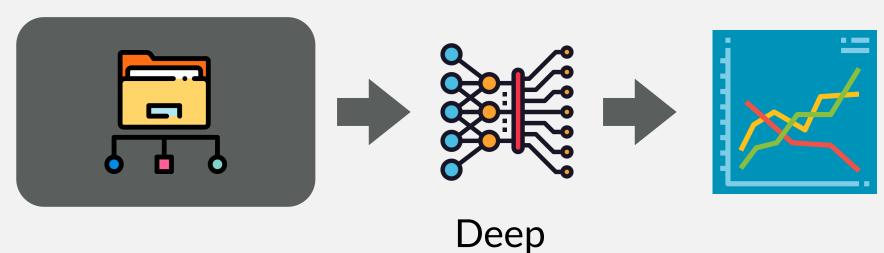
Automation in Software Engineering Research



Automation in Software Engineering Research

Software Repository Data

Salient Patterns



Learning

What is the current state-of-the-art of DL4SE?

###

A Systematic Literature Review on the Use of Deep Learning in Software Engineering Research

CODY WATSON, Washington & Lee University NATHAN COOPER, William & Mary DAVID NADER PALACIO, William & Mary KEVIN MORAN, George Mason University DENYS POSHYVANYK, William & Mary

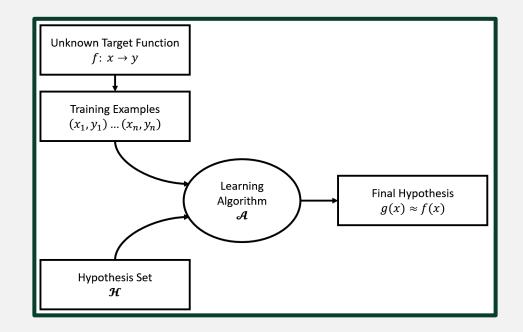
An increasingly popular set of techniques adopted by software engineering (SE) researchers to automate development tasks are those rooted in the concept of Deep Learning (DL). The popularity of such techniques largely stems from their automated feature engineering capabilities, which aid in modeling software artifacts. However, due to the rapid pace at which DL techniques have been adopted it is difficult to distill the current successes, failures, and opportunities of the current research landscape. In an effort to bring clarity to this cross-cutting area of work, from its modern inception to the present, this paper presents a systematic literature review of research at the intersection of SE & DL. The review canvases work appearing in the most prominent SE and DL conferences and journals and spans 84 papers across 22 unique SE tasks. We center our analysis around the *components of learning*, a set of principles that govern the application of machine learning techniques (ML) to a given problem domain, discussing several aspects of the surveyed work at a granular level. The end result of our analysis is a *research roadmap* that both delineates the foundations of DL techniques applied to SE research, and likely areas of fertile exploration for the future.

CCS Concepts: • Software and its engineering \rightarrow Software creation and management; Software development techniques;

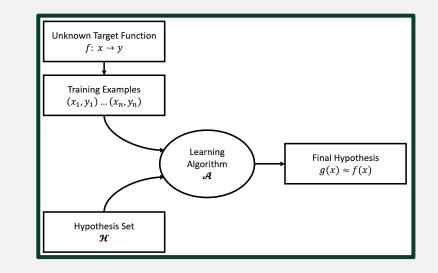
Additional Key Words and Phrases: deep learning, neural networks, literature review, software engineering, machine learning

Research Questions (RQs) centered upon the "components of learning"

Research Questions (RQs) centered upon the "components of learning"



- RQ₁: Target Function (SE Task)
- **RQ**₂: Data (Training/Testing Data)
- RQ₃: Learning Model (Algorithm + Hypothesis Set)
- RQ₄: Final Hypothesis (*Results*)
- RQ₅: Reproducibility and Replicability

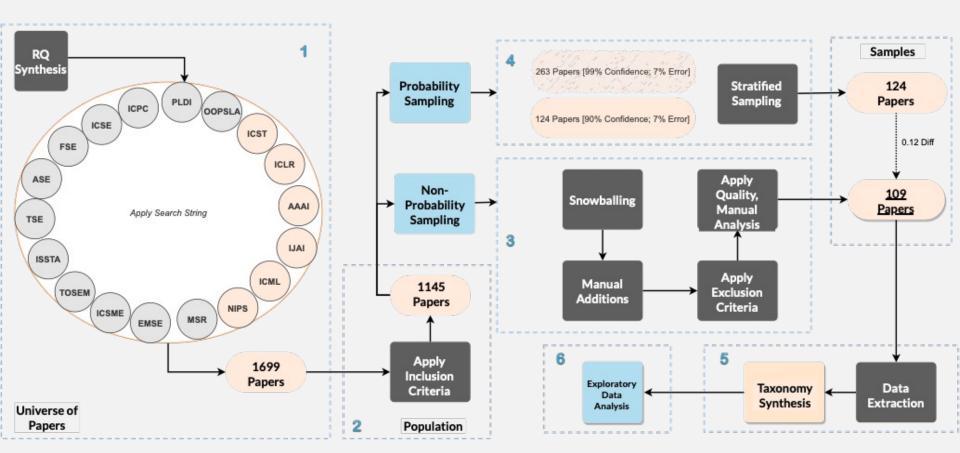


• Time Period: 2009-(mid)2019

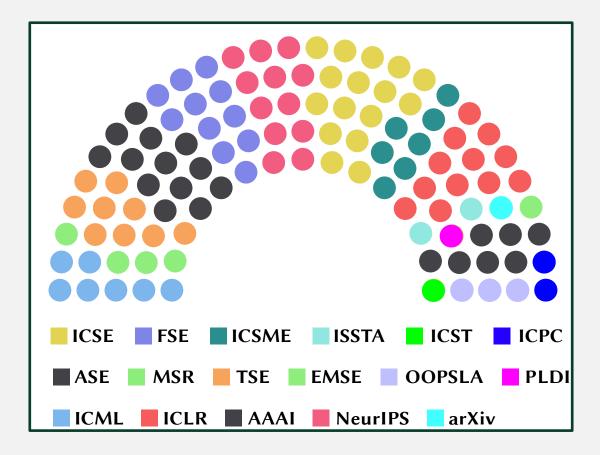
• Venues: ICLR, NeurIPS, FSE, ICML, MSR, ISSTA, ICST, ICSE, ASE, ICSME, TSE, TOSEM, EMSE, OOPSLA, ICPC, PLDI, AAAI, IJCAI.

• **Methodology:** Following *Kitchenham*, et.al.

SLR Search Process



Publication Distribution By Venue



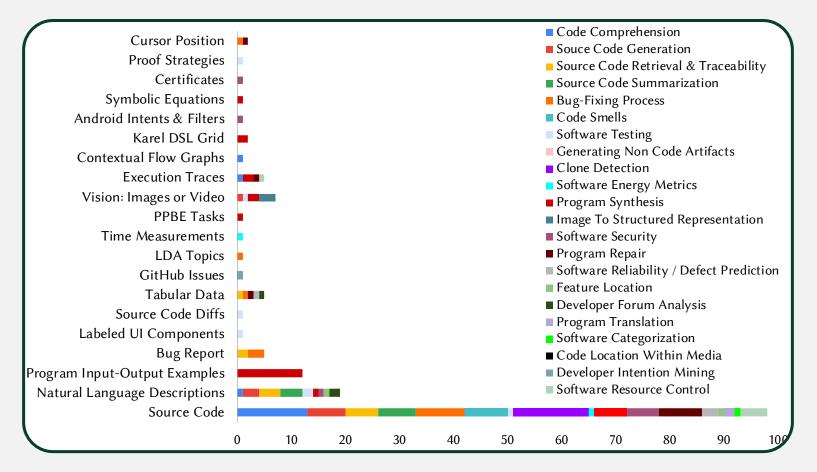
RQ₁: Target Function (SE Task)

DL4SE Publications Over Time and SE Tasks

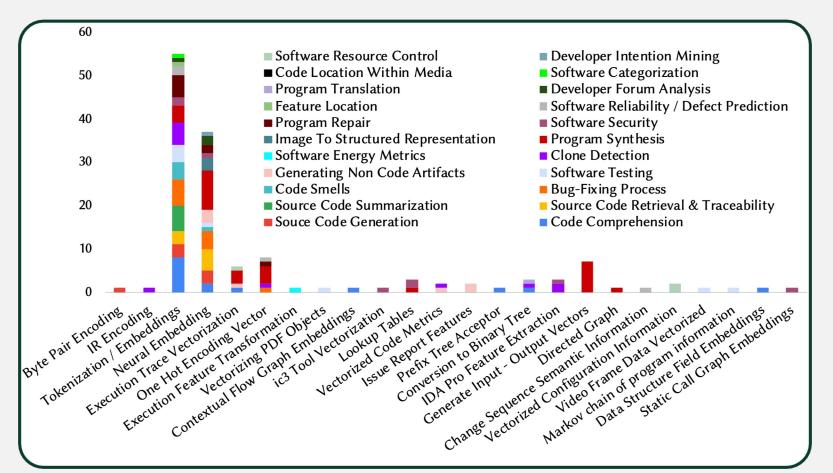
1		
		Code Comprehension 2 1 1 5 4
2014	1	Souce Code Generation 1 1 2 1 1 2
		Source Code Retrieval & Traceability 1 2 4 1
		Source Code Summarization 1 4 1
2015 8	Bug-Fixing Process 1 4 2 4	
	Code Smells 1 1 3	
	Software Testing 2 3 3	
	2016 9	Generating Non Code Artifacts 4 2
2016		Clone Detection 1 1 5 2
2010		Software Energy Metrics 1
		Program Synthesis 1 1 6 13 1
		Image To Structured Representation 1 2
2017	25	Software Security 1 5 1
		Program Repair 1 5 1
		Software Reliability / Defect Prediction 1 2
2018		59 Feature Location Developer Forum Analysis 2 1
		Program Translation 1
		Software Categorization 1
2019	26	Code Location Within Media 11
	20	Developer Intention Mining 1
		Software Resource Control
	0 20 40	60
S		0 2 4 6 8 10 12 14 16 18 20 22

RQ₂: Data (Training/Testing Data)

Data Used in DL4SE Approaches by SE Task

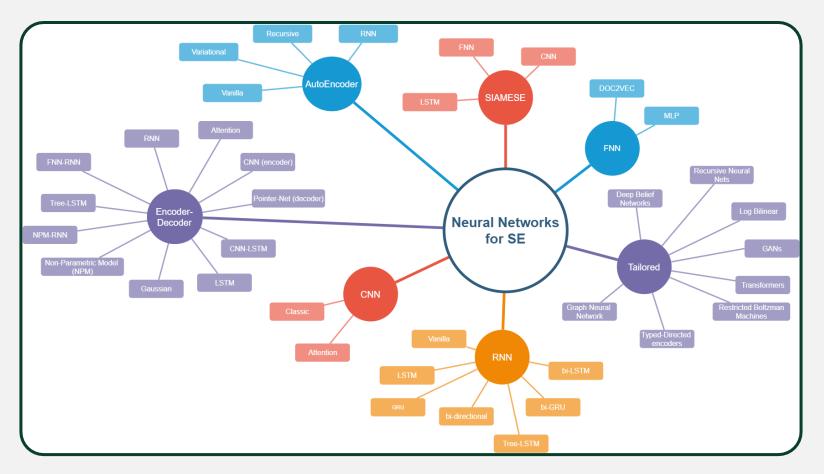


Data Processing Techniques by SE Task

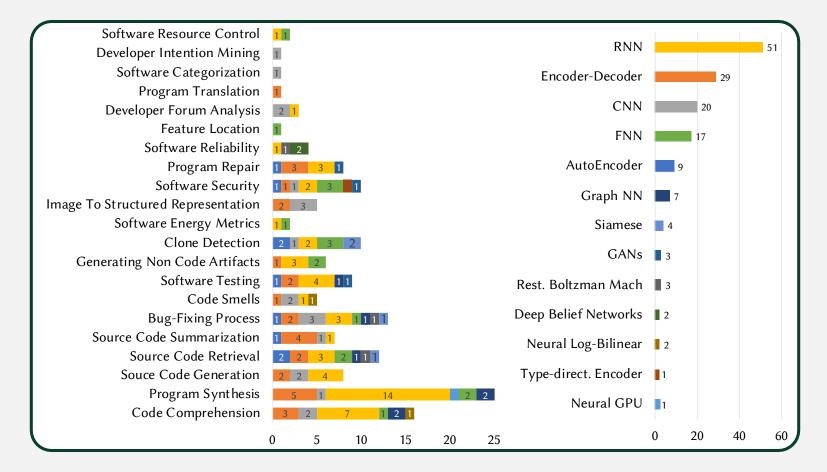


RQ₂: Learning Model (Algorithm + Hypothesis Set)

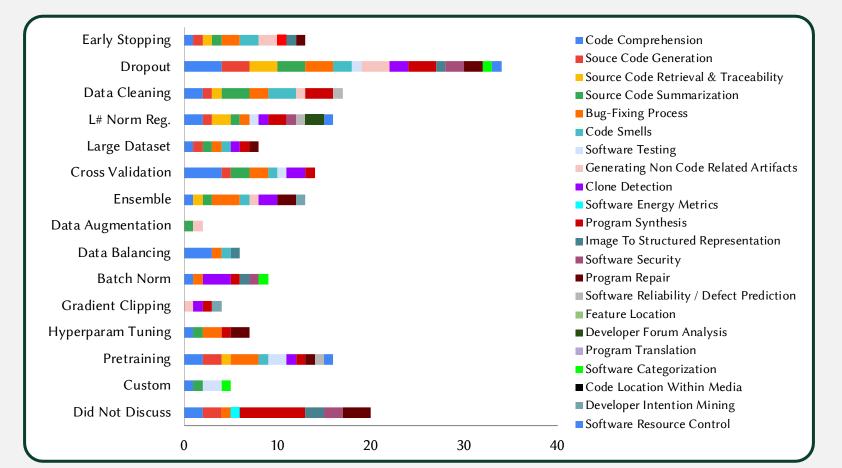
DL4SE Neural Network Architectures



DL4SE Neural Network Architectures

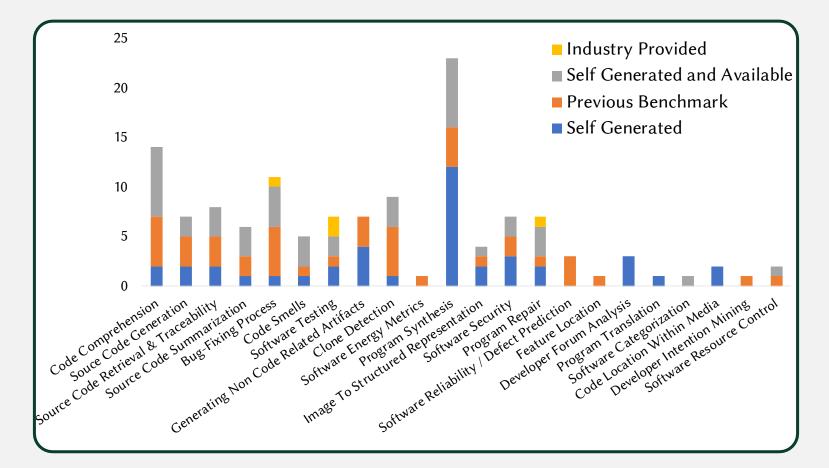


DL4SE Techniques to Combat Overfitting

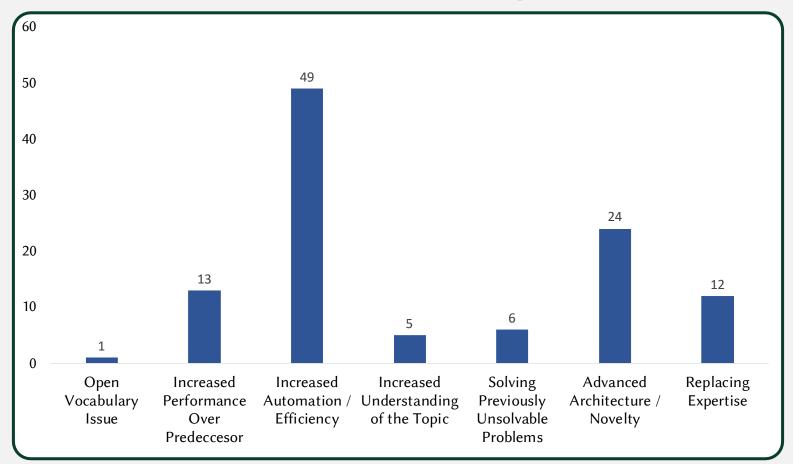


RQ₄: Final Hypothesis (Results)

DL4SE Benchmarks



Claimed DL4SE Impact



Consideration of Occam's Razor

Easy over Hard: A Case Study on Deep Learning

Wei Fu, Tim Menzies Com.Sci., NC State, USA wfu@ncsu.edu,tim.menzies@gmail.com

ABSTRACT

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While deep learning is an exciting new technique, the benefits of this method need to be assessed with respect to its computational cost. This is particularly important for deep learning since these learners need hours (to weeks) to train the model. Such long training time limits the ability of (a) a researcher to test the stability

of their conclusion via repeated runs with different random seeds; and (b) other researchers to repeat, improve, or even refute that original work.

For example, recently, deep learning was used to find which questions in the Stack Overflow programmer discussion forum can be linked together. That deep learning system took 14 hours to execute. We show here that applying a very simple optimizer called DE to fine tune SVM, it can achieve similar (and sometimes better) results. The DE approach terminated in 10 minutes; i.e. 84 times faster hours than deep learning method.

We offer these results as a cautionary tale to the software analytics community and suggest that not every new innovation should be applied without critical analysis. If researchers deploy some new and expensive process, that work should be baselined against some simpler and faster alternatives.

KEYWORDS

Search based software engineering, software analytics, parameter tuning, data analytics for software engineering, deep learning, SVM,

semantically related, they are considered as *linkable* knowledge units.

In their paper, they used a convolution neural network (CNN), a kind of deep learning method [42], to predict whether two KUs are linkable. Such CNNs are highly computationally expensive, often requiring network composed of 10 to 20 layers, hundreds of millions of weights and billions of connections between units [42]. Even with advanced hardware and algorithm parallelization, training deep learning models still requires hours to weeks. For example:

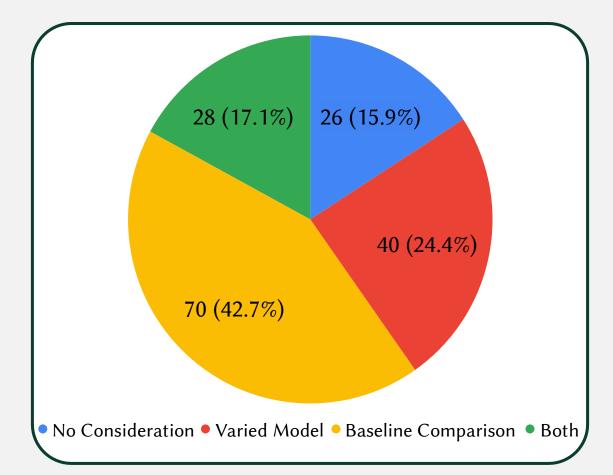
- XU report that their analysis required 14 hours of CPU.
- Le [40] used a cluster with 1,000 machines (16,000 cores) for three days to train a deep learner.

This paper debates what methods should be recommended to those wishing to repeat the analysis of XU. We focus on whether using simple and faster methods can achieve the results that are currently achievable by the state-of-art deep learning method. Specifically, we repeat XU's study using DE (differential evolution [62]), which serves as a hyper-parameter optimizer to tune XU's baseline method, which is a conventional machine learning algorithm, support vector machine (SVM). Our study asks:

RQ1: Can we reproduce XU's baseline results (Word Embedding + SVM)? Using such a baseline, we can compare our methods to those of XU.

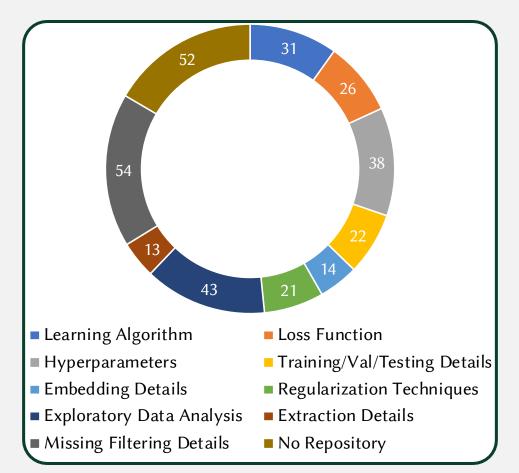
RQ2: Can DE tune a standard learner such that it outperforms XU's deep learning method? We apply differential evolution to tune

Consideration of Occam's Razor

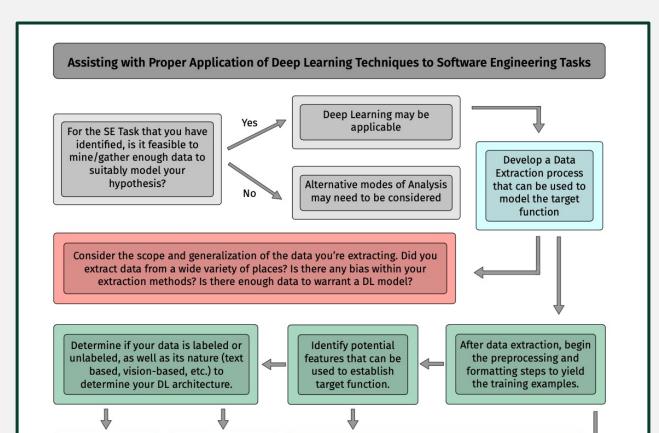


RQ₅: Reproducibility & Replicability

Non-Reproducibility Factors



Resulting Guidelines



Topic 3 – Looking Ahead: Future Directions and Paths Forward



NSF Workshop on Deep Learning & Software Engineering

November 10th & 11th, 2019 San Diego, California



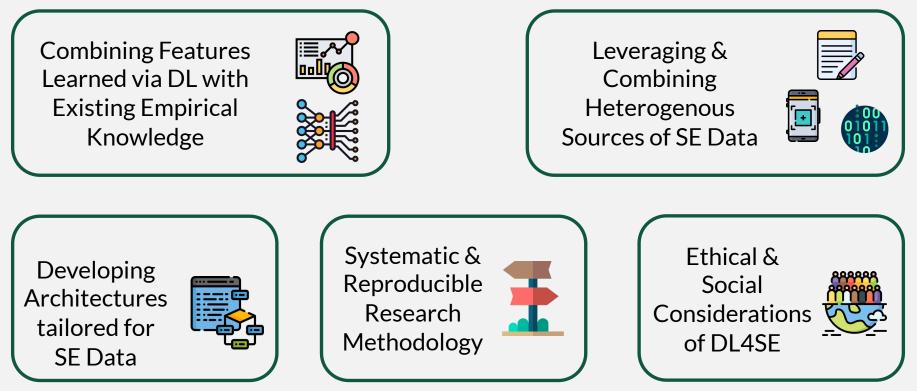
DL4SE and SE4DL

DL4SE: Leveraging Deep Learning Techniques in order to automate or improve existing software engineering tasks

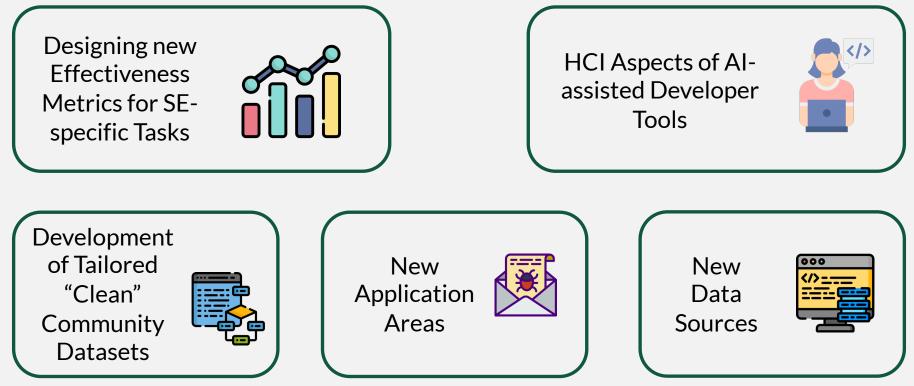
SE4DL: Where Deep Learning Techniques are viewed as a new form of software development that needs tool and process support

Future Work on DL4SE

Future Research Directions in DL4SE



Future Research Directions in DL4SE (cont'd)



Ethical and Social Considerations of DL4SE

 Al is emitting secrets #45 ⊘ Answered by nat dtjm asked this question in Report Bugs 	
 dtjm 2 days ago I tried to get it to tell me secrets and it did: 	
<pre>procease main func main() { // send an email with sendyrid.com // sendyrid.com</pre>	<pre>16</pre>

Ethical and Social Considerations of DL4SE

lot.github.com/#faq-does-github-copilot-e

ode, its training set inc it to be extremely rare th i some cases, the model will ss keys, etc. – but is actually matchical preview, we have implement but it's still possible to get the mode

sized from the training data. GitHub already has able tokens that are accidentally committed to pub ret-security/about-secret-scanning

HCI Aspects of AI-Assisted Developer Tools



New Application Areas and Data-Sources

Potential SE Tasks

Software Testing

Code Review

Troubleshooting Bug Tasks Triaging Docuiromonts

Requirements Engineering

Potential Data Sets

Tailored for SE Tasks

Graphical Software Artifacts

IDE Instrumentation

EDA for Datasets

Combining Empirical Knowledge with Deep Learning

Empirical SE Studies

Deep Learning Tools



Future Work on SE4DL

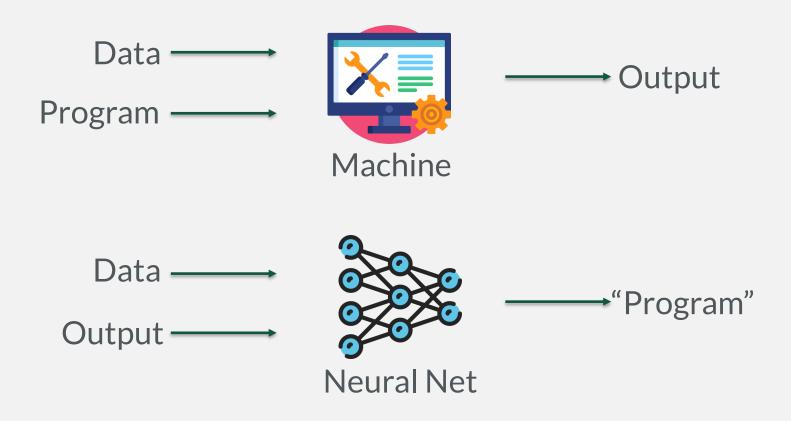
"Gradient descent can write code better than you. I'm sorry"

-Andrej Karpathy, Director of AI at Tesla

"Neural networks are not just another classifier, they represent the beginning of a fundamental shift in how we write software. They are Software 2.0."

-Andrej Karpathy, Director of AI at Tesla

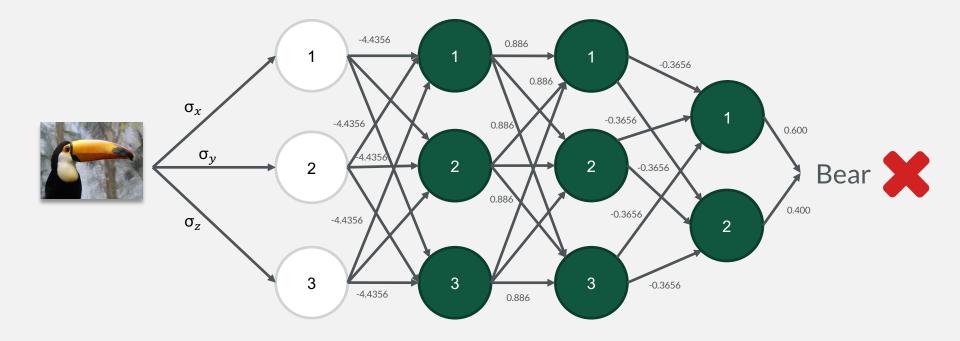
Software 1.0 vs. Software 2.0



Software 1.0

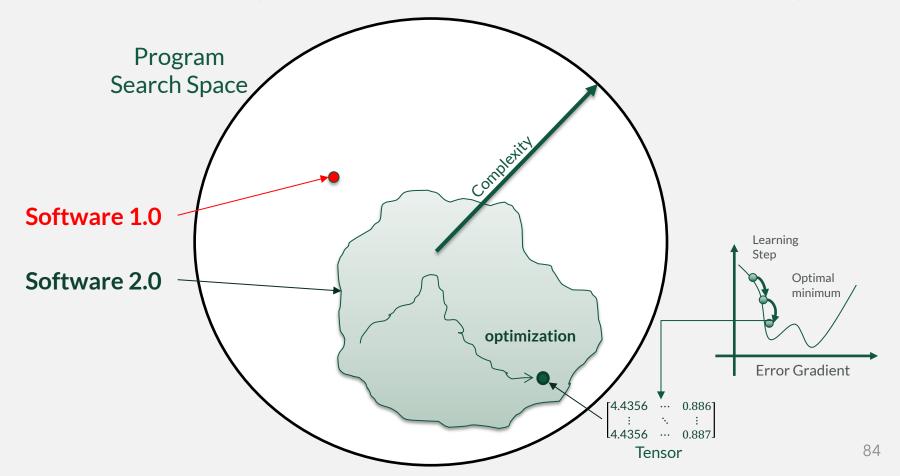
```
/**
1.
2. * Add element in the list
3. * @param element to add
4.
  * @return true if element added, false otherwise
5.
   */
6. public boolean addElement (Element elem) {
7. if(myList != null){
        myList.add(elem);
8.
9.
       return true;
10. }
11. return false;
12.
   }
```

Software 2.0 = DL-based systems

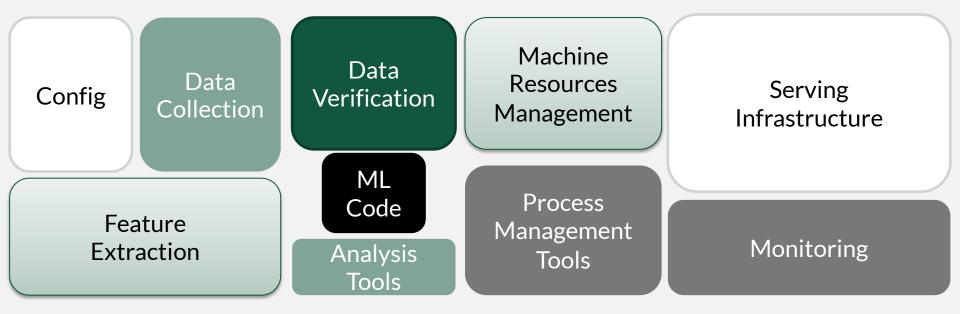


How is Deep Learning Software 2.0?

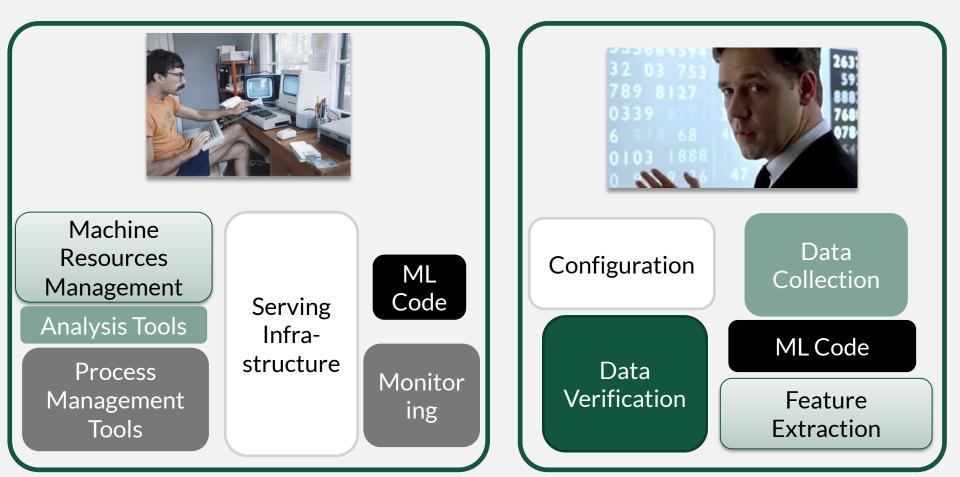
Optimization by Gradient Descent to Find "The Program"



Real-world DL-based System (Software 2.0)



Yesterday's Devs vs. Tomorrow's Devs



Will Deep Learning encompass all software?

Will Deep Learning encompass all software?

Not quite ...

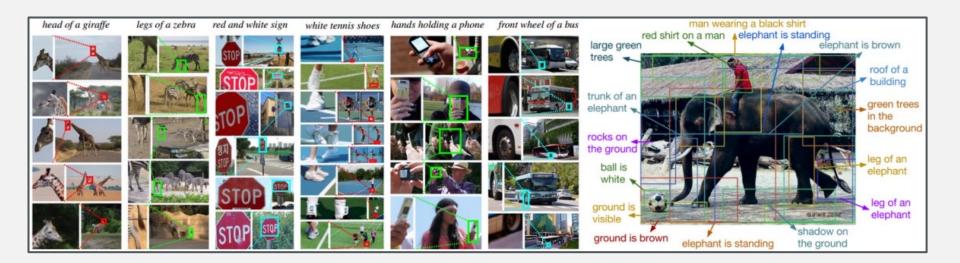
Will Deep Learning encompass all software?

Not quite ...

But the applications of DL are numerous and growing!

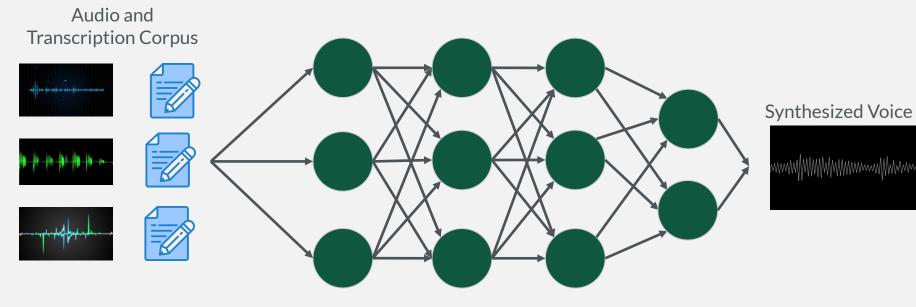
The Transition to Software 2.0

Image Recognition and Understanding



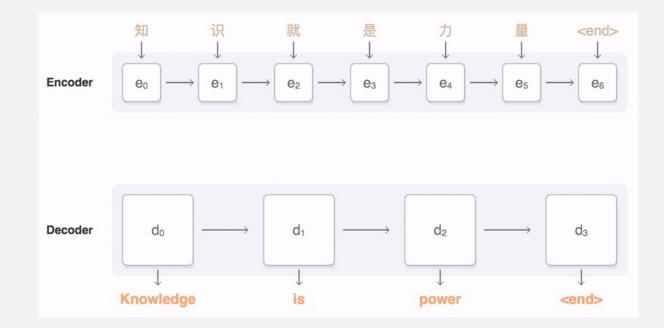
The Transition to Software 2.0

Speech Synthesis



The Transition to Software 2.0

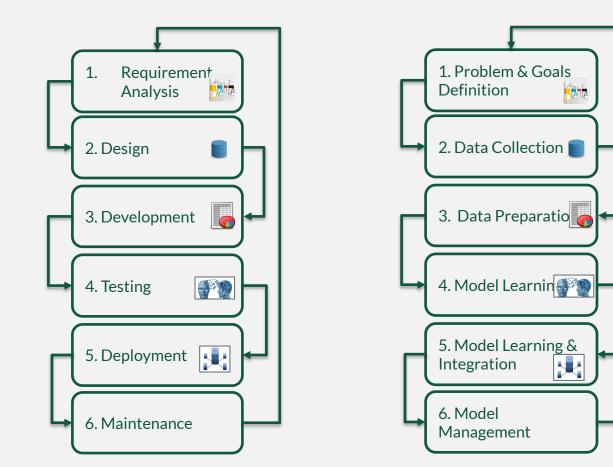
Machine Translation



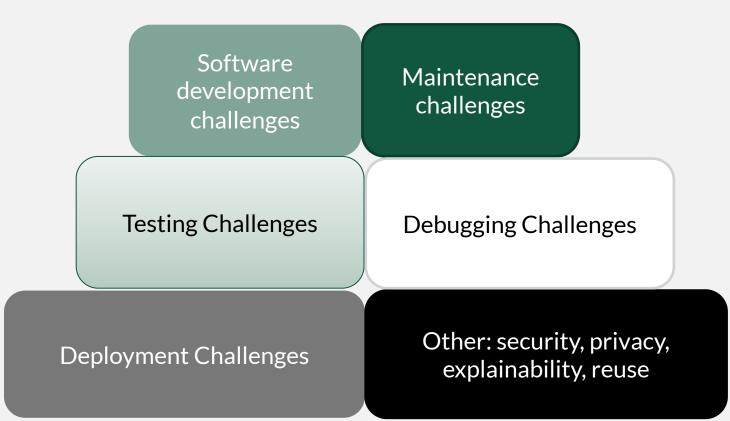
Benefits of Software 2.0

- Computationally homogeneous
- Simple to bake into silicon
- Constant running time
- Constant memory use
- Portable
- Agile
- System is capable of "self-optimization"
- "Better than programmers" (at least on anything involving images/video/sound/speech)

Traditional SE Development vs. DL Development



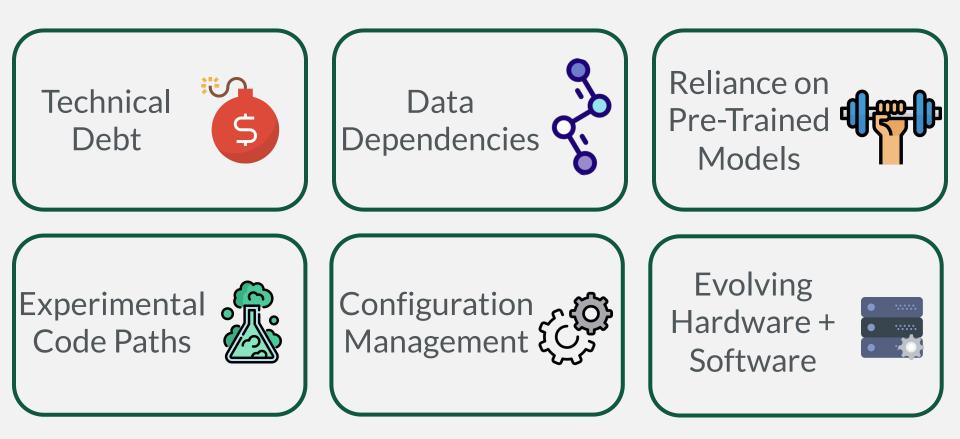
SE Challenges for Software 2.0 (or SE4DL)



Challenges: Software Development for DL

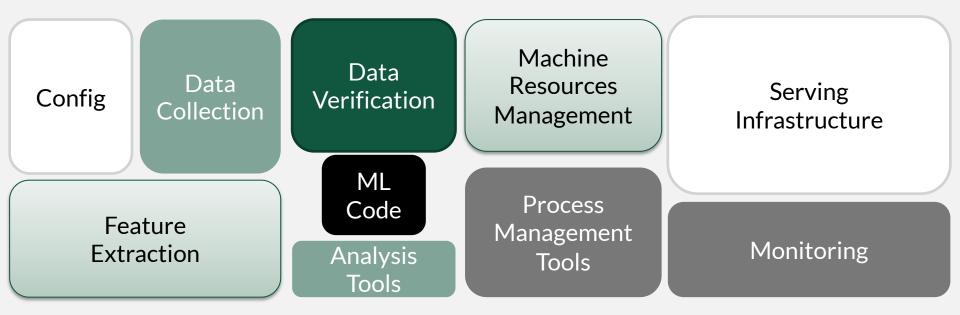


Challenges: Software Maintenance for DL

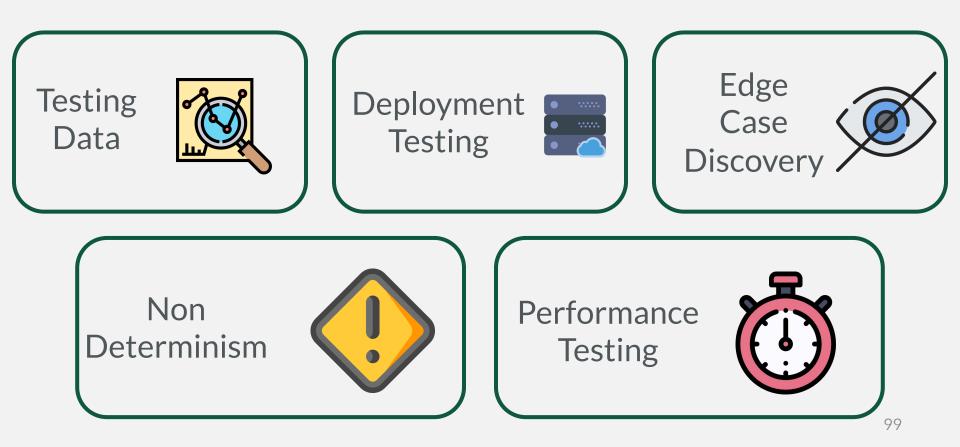


Challenges: Software Maintenance for DL

• Code and data technical debt (~95% is glue code)

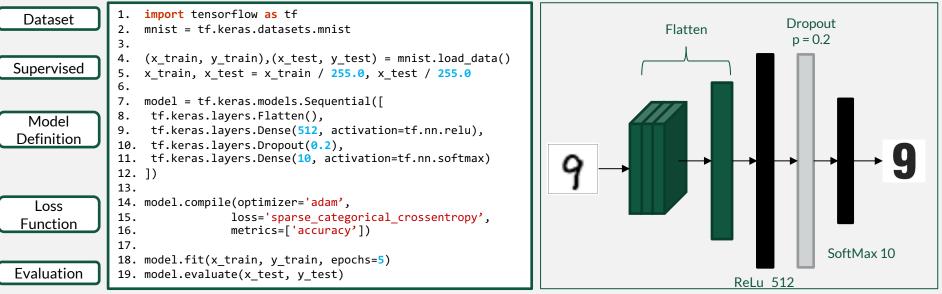


Challenges: Testing for DL



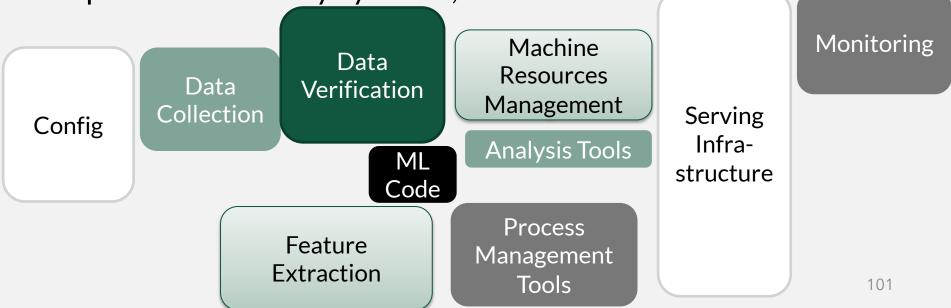
Challenges: Testing for DL

• Data replaces code and should be tested rigorously



Challenges: Testing for DL

- Data replaces code and should be tested rigorously;
- We need to test not only the models, but also production-ready systems;

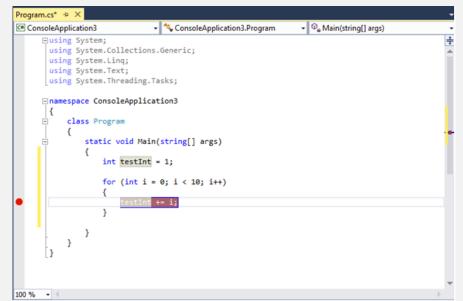


Challenges: Debugging for DL



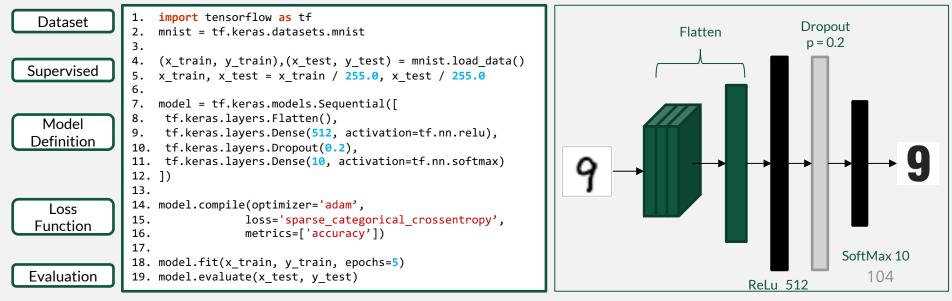
Challenges: Debugging for DL

- We can not estimate the results (and debug the model) until the model is trained
- Traditional debugging works in software 1.0

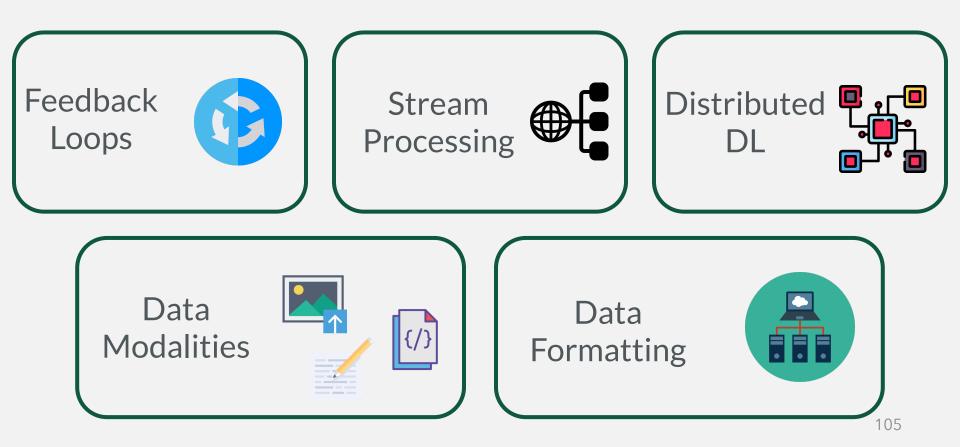


Challenges: Debugging for DL

- We can not estimate the results (and debug the model) until the model is trained
- Traditional debugging does not work in software 2.0



Challenges: DL Deployment



What are the Next Steps?

There is still a lot of work to be done!



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